



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.bspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/671,002	09/27/2000	Brian Dennis McKean	SJ09-2000-0068US1	6634	
7.	590 05/06/2003				
	David W Lynch			EXAMINER	
Altera Law Group LLC 6500 City West Parkway Suite 100 Minneapolis, MN 55344-7701			BAKER, PAUL A		
			ART UNIT	PAPER NUMBER	
•			2188	11	
			DATE MAILED: 05/06/2003	[]	

Please find below and/or attached an Office communication concerning this application or proceeding.

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	Application No.	Applicant(s)
Moding of About a wage	09/671,002	MCKEAN ET AL.
Notice of Abandonment	Examiner	Art Unit
	Paul A Baker	2188
The MAILING DATE of this communication ap		<u> </u>
This application is abandoned in view of:		
Applicant's failure to timely file a proper reply to the Offic     (a)    A reply was received on (with a Certificate of period for reply (including a total extension of time of (b)    A proposed reply was received on, but it does	Mailing or Transmission dated month(s)) which expired on	), which is after the expiration of the
(A proper reply under 37 CFR 1.113 to a final rejection		
application in condition for allowance; (2) a timely file Continued Examination (RCE) in compliance with 37	d Notice of Appeal (with appeal fee);	
(c) ☐ A reply was received on but it does not constitution final rejection. See 37 CFR 1.85(a) and 1.111. (See		empt at a proper reply, to the non-
(d) ⊠ No reply has been received.		
Applicant's failure to timely pay the required issue fee ar from the mailing date of the Notice of Allowance (PTOL-		n the statutory period of three months
<ul> <li>(a) ☐ The issue fee and publication fee, if applicable, wa        ), which is after the expiration of the statutory part Allowance (PTOL-85).</li> </ul>	is received on (with a Certific period for payment of the issue fee (a	cate of Mailing or Transmission dated and publication fee) set in the Notice of
(b) ☐ The submitted fee of \$ is insufficient. A balance	ce of \$ is due.	
The issue fee required by 37 CFR 1.18 is \$	The publication fee, if required by 37	7 CFR 1.18(d), is \$
(c) ☐ The issue fee and publication fee, if applicable, has n	not been received.	
<ol> <li>Applicant's failure to timely file corrected drawings as req Allowability (PTO-37).</li> </ol>	uired by, and within the three-month	period set in, the Notice of
(a) ☐ Proposed corrected drawings were received on after the expiration of the period for reply.	_ (with a Certificate of Mailing or Tra	nsmission dated), which is
(b) No corrected drawings have been received.		
The letter of express abandonment which is signed by the the applicants.	e attorney or agent of record, the as	signee of the entire interest, or all of
5. The letter of express abandonment which is signed by a 1.34(a)) upon the filing of a continuing application.	n attorney or agent (acting in a repre	sentative capacity under 37 CFR
6. The decision by the Board of Patent Appeals and Interfe of the decision has expired and there are no allowed claim	rence rendered on and becau ims.	se the period for seeking court review
7. ⊠ The reason(s) below:		
See Continuation Sheet	l.	gentel & Bragan
	RE	GINALD G. BRAGDON RIMARY EXAMINER
Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdr minimize any negative effects on patent term.  U.S. Patent and Trademark Office	aw the holding of abandonment under 37	CFR 1.181, should be promptly filed to
	of Abandonment	Part of Paper No. 10

Item 7 - Other reasons for holding abandonment: Attorney of record was contacted on 22 April 2003 to advise that seven months had passed since mailing last office action. He indicated that the office action had not been received; after examination of the record it was determined that the mailing address of record did not match attorney of record's current mailing address. Applicant filed a change of address on 12 January 2001 (paper #2) which changed the address to the attorney of record's current mailing address. However, on 14 May 2002 (paper #5), another change of address (via customer #22865) was received for this application to the address to which the final rejection was mailed. Since the Final Rejection was mailed to the proper address of record at the time of mailing, and no response was received, the case is abandoned. A change of address was received 23 April 2003 (paper #9).

Interview Summary	09/671,002	MCKEAN ET AL.
merview dummary	Examiner	Art Unit
	Paul A Baker	2188
All participants (applicant, applicant's representative, PTO	personnel):	
(1) <u>Paul A Baker</u> .	(3)	
(2) <u>David W. Lynch (Reg. No. 36,204)</u> .	(4)	
Date of Interview: 22 April 2003.		
Type: a)⊠ Telephonic b)□ Video Conference c)□ Personal [copy given to: 1)□ applicant 2	2)∏ applicant's representative	·]
Exhibit shown or demonstration conducted: d) Yes If Yes, brief description:	e)⊠ No.	
Claim(s) discussed: <u>N/A</u> .		
Identification of prior art discussed: <u>N/A</u> .		
Agreement with respect to the claims f) was reached. g	)∐ was not reached. h)⊠ N	//A.
Substance of Interview including description of the general reached, or any other comments: <u>See Continuation Sheet</u> .	nature of what was agreed to	if an agreement was
(A fuller description, if necessary, and a copy of the amend allowable, if available, must be attached. Also, where no callowable is available, a summary thereof must be attached	opy of the amendments that w	reed would render the claims rould render the claims
THE FORMAL WRITTEN REPLY TO THE LAST OFFICE A INTERVIEW. (See MPEP Section 713.04). If a reply to the GIVEN ONE MONTH FROM THIS INTERVIEW DATE TO FINTERVIEW. See Summary of Record of Interview requirer	last Office action has already FILE A STATEMENT OF THE	been filed, APPLICANT IS SUBSTANCE OF THE
Examiner Note: You must sign this form unless it is an		

Application No.

Applicant(s)

Attachment to a signed Office action.

Examiner's signature, if required





Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

## Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
  - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

## **Examiner to Check for Accuracy**

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Attorney of record was contacted to advise that seven months had passed since mailing last office action. He attested that the office action had not been received, and stated that a status inquiry was filed 8 January 2003. After a subsequent phone call, the examiner requested an additional change of address.